



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

**David Justin Ross**

Group Art Unit: 2131

Application No. 10/003,719

Filed: October 30, 2001

For: **VERIFICATION ENGINE FOR  
USER AUTHENTICATION**

Docket no.: 29094/14:2

Date: October 10, 2002

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**INFORMATION DISCLOSURE STATEMENT**

TO THE COMMISSIONER FOR PATENTS:

1. Pursuant to the duty of disclosure, applicant presents for the Examiner's consideration the documents listed on the accompanying Form PTO-1449. Copies of the documents are enclosed.

2. ☐ Applicant(s) draw(s) the Examiner's attention to the enclosed copy of copending U.S. Pat. Application No. \_\_\_\_\_, filed \_\_\_\_\_, for \_\_\_\_\_, which is cited in this application.

3. This information disclosure statement is being submitted:

a. ☒ Within three months of the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office action on the merits; or before the mailing of a first Office action after the filing of a request for continued examination under 37 CFR 1.114. (No statement under 37 CFR 1.97(e) is required.)

b. ☐ After the period set forth in paragraph 1a, but before the mailing date of either a final action, a notice of allowance, or an action that otherwise closes prosecution in the application. (Check box i. or ii.)


i. ☐ A \$180.00 information disclosure statement submission fee set forth in 37 CFR 1.17(p) is enclosed.

ii. ☐ A statement specified by 37 CFR 1.97(e) is set forth below.

- c. ☐ After the mailing date of a final action or notice of allowance and on or before payment of an issue fee. A statement specified by 37 CFR 1.97(e) is set forth below. Enclosed is a \$180.00 information disclosure statement processing fee set forth in 37 CFR 1.17(p).
4. ☐ The attorney or agent signing below hereby states that:
- ☐ each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
- ☐ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.
5. ☐ Applicants set forth below concise explanations of the relevance of each document not in the English language and/or selected documents in the English language.

Respectfully submitted,

**RAF Technology, Inc.**

By: 

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FORM PTO-1449  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.:  
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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6	2	3	0	1	4	8	5/8/01	Pare, Jr., <i>et al.</i>	705	40	
	AB	6	2	0	2	1	5	1	3/13/01	Musgrave, <i>et al.</i>	713	186	
	AC	6	1	7	5	9	2	3	1/16/01	Bailey	713	186	
	AD	6	1	2	5	3	4	9	9/26/00	Maher	705	1	
	AE	6	1	2	2	4	0	3	9/19/00	Rhoads	382	233	
	AF	6	1	1	8	8	7	2	9/12/00	Kashima, <i>et al.</i>	380	205	
	AG	6	1	1	1	9	5	4	8/29/00	Rhoads	380	54	
	AH	6	0	8	5	3	2	2	7/4/00	Romney, <i>et al.</i>	713	176	
	AI	6	0	3	9	2	4	8	3/21/00	Park, <i>et al.</i>	235	379	
	AJ	5	8	8	4	2	7	0	3/16/99	Walker, <i>et al.</i>	705	1	
	AK	6	0	2	6	1	6	6	2/15/00	LeBourgeois	380	25	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO
	AL													
	AM													
	AN													
	AO													

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	PCT International Search Report dated July 9, 2002, for International Application No. PCT/US01/46135.											
	AS	Allison Linn, "Microsoft Unveils .NET Strategy," <i>The Seattle Times</i> , March 19, 2001, published on the Internet at < <a href="http://dailynews.yahoo.com/h/xseap/20010319/lo/microsoft_hailstorm_1.html">http://dailynews.yahoo.com/h/xseap/20010319/lo/microsoft_hailstorm_1.html</a> >											
	AT	PressPass, "Microsoft's Bill Gates Previews New 'HailStorm' Technologies to Usher in New Era of More Consistent, Personalized and User-Centric Experiences," March 19, 2001, published on the Internet at < <a href="http://www.microsoft.com/PressPass/press/2001/Mar01/03-19HailstormPR.asp">http://www.microsoft.com/PressPass/press/2001/Mar01/03-19HailstormPR.asp</a> >											

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	AU	6	0	1	4	6	5	0	1/11/00	Zampese	705	44	
	AV	5	9	9	1	4	0	8	11/23/99	Pearson, <i>et al.</i>	380	23	
	AW	5	9	8	7	4	4	0	11/16/99	O'Neil, <i>et al.</i>	705	44	
	AX	5	9	7	3	7	3	1	10/26/99	Schwab	348	161	
	AY	5	9	1	0	9	8	8	6/8/99	Ballard	380		
	AZ	5	8	9	3	0	9	0	4/6/99	Friedman, <i>et al.</i>	707	3	
	BA	5	8	7	0	4	9	9	2/9/99	Bender <i>et al.</i>	382	232	
	BB	5	8	4	1	8	8	8	11/24/98	Setlak, <i>et al.</i>	382	124	
	BC	5	7	4	2	6	8	5	4/21/98	Berson <i>et al.</i>	380	25	
	BD	5	8	8	3	8	1	0	3/16/99	Franklin, <i>et al.</i>	364	479.02	
	BE	5	8	7	2	8	4	8	2/16/99	Romney, <i>et al.</i>	380	25	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO
	BF													
	BG													
	BH													
	BI													

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	BK	PressPass, "Microsoft Announces 'HailStorm,' a New Set of XML Web Services Designed to Give Users Greater Control," March 19, 2001, published on the Internet at < <a href="http://www.microsoft.com/PressPass/features/2001/mar01/03-19hailstorm.asp">http://www.microsoft.com/PressPass/features/2001/mar01/03-19hailstorm.asp</a> >
	BL	
	BM	

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	BN	5	8	7	0	7	2	3	2/9/99	Pare, Jr., <i>et al.</i>	705	39	
	BO	5	8	5	0	4	4	2	12/15/98	Muftic	380	21	
	BP	5	8	4	1	8	8	6	11/24/98	Rhoads	382	115	
	BR	5	8	3	8	8	1	4	11/17/98	Moore	382	115	
	BS	5	6	8	7	2	3	6	11/11/97	Moskowitz, <i>et al.</i>	380	28	
	BT	5	6	1	3	0	0	4	3/18/97	Cooperman, <i>et al.</i>	380	28	
	BU	5	4	3	6	9	7	0	7/25/95	Ray, <i>et al.</i>	380	23	
	BV	5	3	7	5	2	4	4	12/20/94	McNair	395	725	
	BW	6	0	2	6	4	9	1	2/15/00	Hiles	713	202	
	BX	5	2	5	9	0	2	5	11/2/93	Monroe, <i>et al.</i>	380	23	
	BY	4	8	9	0	3	2	3	12/26/89	Beker, <i>et al.</i>	380	25	

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	BZ													
	CA													
	CB													
	CC													
	CD													

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	CE	
	CF	
	CG	

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